



Australian Government

Australian Skills Quality Authority

# REPORT

## **Audit report: Regal Security & Industry Training Pty Ltd atf Regal Security Trust**

Date: 21 November 2017

Date report updated: 21/05/2018



## Organisation details

|                            |   |
|----------------------------|---|
| Organisation's legal name: | Regal Security & Industry Training Pty Ltd atf Regal Security Trust |
| Trading name/s:            | Regal Security and Industry Training                                |
| RTO number:                | 32331   |
| CRICOS number:             | N/A   |

## Audit team

|               |  |
|---------------|--|
| Lead auditor: | Scott Templeton                              |
| Assistant/s:  | Robyn Porter (Observing)<br>Denise Middleton |

## Audit details

|                                   |   |
|-----------------------------------|---|
| Application number/s:             | N/A   |
| Audit number:                     | AUDREC0000318   |
| Audit reason:                     | Compliance - monitoring   |
| Address of site/s visited:        | 1/42 Nerang Street Southport 4215 QLD                               |
| Date/s of audit:                  | 21 November 2017  |
| Organisation's contact for audit: | Robert Basile CEO<br>rob@regalsecuritytraining.com.au 0405 129 123  |
| Clauses audited:                  | 1.1, 1.2, 1.3, 1.7, 1.8, 1.13 - 1.18, 1.20, 4.1, 5.1, 5.2, 5.3, 7.3 |

## Original finding at time of audit

### Audit finding: Significant non-compliance

**Report completed by:** Scott Templeton

**Date:** 21 November 2017

- The level of non-compliance considers the potential for an adverse impact on the quality of training and assessment outcomes for students.
- If non-compliance has been identified, this audit report describes evidence of the non-compliance.

## Audit finding by Practice

| Practice                           | Standards for RTOs 2015              | Original Finding |
|------------------------------------|--------------------------------------|------------------|
| Marketing/Recruitment              | 4.1                                  | Compliant        |
| Enrolment                          | 5.2                                  | Not compliant    |
| Enrolment                          | 5.1, 5.3                             | Not audited      |
| Enrolment                          | 7.3                                  | Compliant        |
| Support and Progression            | 1.7                                  | Compliant        |
| Training and Assessment            | 1.8                                  | Not compliant    |
| Training and Assessment            | 1.1, 1.2, 1.3, 1.13, 1.14, 1.5, 1.16 | Compliant        |
| Training and Assessment            | 1.17, 1.18, 1.20                     | Not audited      |
| Completion                         | 3.1                                  | Not compliant    |
| Regulatory Compliance / Governance | 8.5                                  | Not compliant    |

|                                  |          |             |
|----------------------------------|----------|-------------|
| Regulatory Compliance/Governance | 8.2      | Compliant   |
| Regulatory Compliance/Governance | 2.3, 2.4 | Not audited |

## Audit finding following analysis of additional evidence

Audit finding following analysis of additional evidence provided on 22/02/2018 : Compliant

Report completed by: Denise Middleton

| Practice                          | Standards for RTOs 2015 | Finding   |
|-----------------------------------|-------------------------|-----------|
| Enrolment                         | 5.2                     | Compliant |
| Training and Assessment           | 1.8                     | Compliant |
| Completion                        | 3.1                     | Compliant |
| Regulatory Compliance /Governance | 8.5                     | Compliant |

## Background

Summary of RTO organisation and management structure:

- The organisation consists of CEO, Mr Robert Basile, management staff and contracted trainers.
- Mr Basile owns a security company with up to 50 staff members. The organisation services, pubs, clubs, cash in transit operations and private security.

Scope of RTOs registration:

- The organisation holds 3 qualifications from the CPP training package and 11 units of competency from the CPP, HLT and SIT training packages.
- The following accredited courses are also held on scope:
  - 10618NAT- Course in Firearms Safety (approved for Firearms Licensing in Queensland)
  - 30989QLD- Course in Firearms Safety (approved for firearms licensing in Queensland)

Suburb and state of all delivery sites:

- Face to face delivery is conducted in Southport, Queensland.
- All delivery is based in Queensland.

Third party usage:

- The organisation has 3 x third party arrangements for delivery of training and assessment with – Mr Anthony Chadburn, Achieve Corporate Services Pty Ltd and GLNG Security Pty Ltd.

Core clients/target groups:

- The core clientele consists of people wishing to enter the security industry and also existing members of the security industry, wishing to refresh their knowledge.

Training Revenue (Funded or fee for service):

- Main revenue source consists of Fee for service.

Total number of current enrolments in RTO as at audit date:

- 71.

In preparing the audit report, consideration has been given and reference made, where relevant, to:

- Information provided by students as part of a student survey or interview.
- Information provided directly by Regal Security & Industry Training Pty Ltd atf Regal Security Trust to ASQA
- Existing information and records held by ASQA concerning Regal Security & Industry Training Pty Ltd atf Regal Security Trust
- Information provided to ASQA's auditors and documentation reviewed during the site audit of Regal Security & Industry Training Pty Ltd atf Regal Security Trust conducted on 21 November 2017.
- Other publically available information - including but not limited to, information published on the RTO's and third party websites.

## Audit Sample

| Code       | Training products  | Mode/s of delivery / assessment* | Current enrolments |
|------------|--|----------------------------------|--------------------|
| CPP20212   | Certificate II in Security Operations  | Face to face                     | 27                 |
| CPP30411   | Certificate III in Security Operations   | Face to face                     | 23                 |
| 10618NAT   | Course in Firearms Safety (approved for Firearms Licensing in Queensland)        | Face to face                     | 0                  |
| SITHFAB002 | Provide responsible service of alcohol<br>Provide responsible service of alcohol | Face to face                     | 0                  |
| HLTAID003  | Provide first aid  | Face to face                     | 1                  |

\*Apprenticeship, Traineeship, Face to face, Distance, Online, Workplace, Mixed, Other (specify)

## Interviewees

| Name          | Position | Training products   |
|---------------|----------|---|
| Robert Basile | CEO      | CPP20212<br>CPP30411<br>10618NAT<br>SITHFAB002<br>HLTAID003 |

## About this Report

This report details findings against the *Standards for Registered Training Organisations 2015*.

Where evidence of non-compliance is identified, the Registered Training Organisation is accountable for identifying and correcting non-compliant practices and behaviours, particularly those that have had a negative impact on learners.

Correcting a non-compliance may require:

- correcting a process or system that has led to the non-compliance, and implementing a revised process or system
- identifying the impact on learners and carrying out remedial action for current and past learners